### **DEPARTMENT OF THE ARMY**



### UNITED STATES ARMY INTELLIGENCE AND SECURITY COMMAND FREEDOM OF INFORMATION/PRIVACY OFFICE FORT GEORGE G. MEADE, MARYLAND 20755-5995

JUN 1 4 2007

Freedom of Information/ Privacy Office

Mr. John Young CRYPTOME 251 West 89<sup>th</sup> Street Suite 6E New York, New York 10024

Dear Mr. Young:

### References:

- a. Your Freedom of Information Act request of April 18, 2001, for records pertaining to East Germany Intelligence Services Facilities, ZF300207W (our case #799F-01).
- b. Our letter of January 9, 2006, informing you we were unable to comply with the 20-day statutory time limit in processing your request (our case #118F-06).
- c. Our letters of March 27, 2006 and September 15, 2006, informing you we were still reviewing the records you requested and would respond to you once the review had been completed (our case #118F-06).

We have conducted checks of the automated Defense Central Index of Investigations and a search of the Investigative Records Repository to determine the existence of Army intelligence investigative records responsive to your request. We have located records pertaining to your request on East Germany Intelligence Services Facilities, ZF300207W.

We have completed a mandatory declassification review in accordance with Executive Order (EO) 12958, as amended. As a result of our review, information has been sanitized and 226 pages of records are denied in their entirety as the information is currently and properly classified SECRET and CONFIDENTIAL according to Sections 1.2(a)(2), 1.2(a)(3) and 1.4(c) of EO 12958, as amended. This information is exempt from the public disclosure provisions of the FOIA pursuant to Title 5 U.S. Code 552(b)(1). It is not possible to reasonably segregate meaningful portions of the withheld pages for release. The records are enclosed for your use. A brief explanation of the applicable sections follows:

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MfS ROSTOCK Headquarters

(See Figure 1)

a. Name, Subordination and Location

The official name of the MIS Headquarters in ROSTOCK is the ROSTOCK Administrative District of the Ministry of the Interior (Beginksverwaltung des Ministeriums des Immeren, ROSTOCK). This designation is conspicuously displayed on a large sign on the exterior of the main building of the headquarters. In very small, almost illegible lettering undermeath this title, the designation Ministry for State Security (Ministerium fuer Staatseicherheitsdienst) is also printed. The installation is located on the corner of August Bebelstrasse and Hermannstrasse in ROSTOCK at (540456N-120828E)(UY129965) (Possibly Install No 091) These 2 bordering streets were formerly known as Friedrichstrasse and Fransstrasse respectively. An underground garage for cover vehicles assigned to the installation is located at (540516N-120827E)(UV130972). Branch resident offices directly subordinate to the MfS Headquarters are located in all major industrial and retail firms in the ROSTOCK area. These 3 and 4 man team operations are known to be stationed in the Fischkombinat in MARIENERE at (540650%-120516E)(UA097002), in the Warnowerf port facility in WARNEYDENDE at ( 540700N-L:0615E)(UA105066), the Dieselmotoremerke (540500N-120800E)(UV123957), the District Peoples Police Headquarters in ROSTOCK at (540513N-120631E)(UV109965), and one team in each mili tary installation in the ROSTOCK administrative district. The MIS Readquarters in ROSTOCK is directly subordinate to the Mrs Headquarters in ENRLIN (5231N-1323E) (UU9119), which is further subordinate to the Ministry of the Interior, BERLIN.

b. Description

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The MfS Headquarters occupies a 200 x 200 m installation enclosed in part by apartment buildings to the S and by a comented rock wall, about 3.5 m in height and crowned by a layer of comented broken glass. The main pedestrian entrance is on August Bebelstrasse and a vehicular and pedestrian entrance is on Hermannstrasse. The latter entrance is namually closed by a 10 m wide iron door. The main building of the installation is a cemented rock 4 story building, built in about 1957, and measuring 200 x 100 m. This structure houses administrative offices and contains a pistol range in the W end of the basement. A prison is said to extend the entire length of one side of the basement. This prison is rumored to be used only for high priority immates for the duration of interrogation or investigation procedures. Source, however, gained access only to the ground floor of the main building, which contains offices for the reception of visitors, a reception room, and several offices, including the offices of his agent handlers. A small prefabricated concrete structure is a few m S of the main building. This single story building appears to be a new construction, and has high masts with antennas which Source could not describe. Source heard that this building is used as a radio transmitting and receiving station. An open parking area is located E of this building, which is combined with a small garden. The S edge of the installation is bounded by large apartments said to house officers of the MfS in ranking positions. A larger housing area for MfS personnel is said to be located one block NE of the installation. A 20 x 10 m garage, one block E of the installation provides entrance to the underground vanicle storage area of the MfS. Source estimates that approximately 60 passenger vehicles only with normal civilian license plates and no designation are stored here. This estimation is based upon the approximate number of vehicles assigned to this MfS for this role and seen by Source outside of the garage.

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Source, as well as most other MIS personnel are not allowed direct access to the garage, but are supplied the vehicles through personal delivery.

### e. Organization and Strongth

The MfS Headquarters in ROSTOCK is wanized into 4 departments, of which Source could recall included the departments of Information, Counterintelligence, and Immer Security. As an agent for the department of Counterintelligence, Source did not gain direct access to information pertaining to the other departments, but heard that the department of Information is a basic intelligence gathering agency on domestic and foreign targets. Inner Security is said to be responsible for the allegiance and political reliability of all agents and dopartments of the other MfS elements. Source was told that the'd spartment of counterintelligence, however, receives the most funds and operational authority. The & departments of the MfS Headquarters are responsible for intelligence actiwities in the entire administrative district of ROSTOCK. For this purpose, Source heard that approximately 2,500 full-time personnel are employed in the district. Part-time emplayees, to include simple informers within factories, are estimated to number an additional 10,000. In addition to the Headquarters personnel, 3 and 4 man teams operate out of all major industrial and retail firms in the ROSTOCK area. Each military installation also has a team.

#### d. Security

All 4 of the streets enclosing the MfS Headquarters installation are posted with signs forbidding vehicular stopping. As nothing within the installation can be seen from the street, and because ithe main building is an imposing structure commanding a wide view of the immediate area, Source deduced that daylight patrols are not made of the installation area. Source, at any rate, has never seen such patrols. At night, however, a small detachment of guards are assigned to patrol the entaids limits of the installation. These patrols consist of 2 men, not together and following no known set pattern, belonging to the detachment of 20 men responsible for the physical security of the installation and for the guarding of prison instates. While on outside patrol, the guards are dress ed in brown uniforms similar to those worn by the EGA, but with rose colored borders on the shoulderboards. One of the exterior guards carries a pistol and flashlight, the other is armed with a mistol and an AK assault rifle and a pistol and flashlight. The guard with the rifle is believed to carry a loaded magasine with 40 rounds. The personal identity card is a prerequisite for entrance.

### e. Weapons and Vehicles

Although Source entered the MfS Headquarters only a few times, he noticed that the majority of the personnel in civilian clothing were armed with pistols of German manufacture, 9mm, nomenclature 08. Some of the personnel in uniform were armed with AK assault Rifles, but Source believes that all of these perssommel are members of the small security force. All cover vehicles for the installation are stored in the parking garage one block E of the installation. These vehicles number perhaps 60, and include the models WARTHURG, TRABANT, WOLGA and MOSKWITCH in several colors and types. Source claims that no SKODA vehicles are assigned to the ROSTOCK MIS administrative district.

### f. Small Arms Range

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In addition to the small arms range in the basement of the main building of the installation, KfS personnel, especially those assigned to covert operations and authorised concealed sidearss, are trained in the use of the 9mm Spotol OSyon a small arms range in ROSTOCK near the new cometary at (540500N-120500E)(UV091958) This range is administratively controlled and operationally directed by an U/I wash-of the Alert Police (Bereitschaftspolisei). The range is exclusively for pistol practice and contains 6 firing lanes. Each lane is equipped with electronically activated stationary and moving silhoustte targets at distances up to 25 m. The backdrop for the range is a forest area.

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The normal firing training consists of firing 2 magazines, or about 16 rounds total, at the targets. Accuracy and speed are emphasized on the range, as the targets appear on the firing lane in unpredictable amounts, distances, and position.

r. Foreign Intelligence Elegents

Source successfully concealed b7(d) from his MfS superiors, and thereby gained information concerning the existence of representatives of the Soviet NGB at the MfS Headquarters. When in the presence of his superiors, such information was passed gbetween ranking MfS personnel that was not destined for Source, b7(d) although Source never saw individuals he could identify as NGB agents, he did learn that they have no authority in the ROSTICK administrative district. Further, no other foreign nations are represented at the headquarters, although good relations and liaison are maintained between the headquarters and the intelligence services of Bulgaria and Hungary.

#### h. Permonalities

Source was called to Headquarters only on very rare occasions, and did not have the opportunity to acquaint himself with many personalities. The following individuals either act or have acted as agent handlers, and with whom Source had some contact. The names given are not cover names, but appear to be christens and legal, and most are element numbers of the Department of Counterintelligence, ROSTOCK MIS. formerly an agent handler in Counterb6 intelligence, but now believed to be the leading officer of the installations security force. It appears to Source that this was a result of a demotion for formerly an agent handler in Counterunknown reasons. b6 intelligence, but now believed to have been promoted to director of the Information Department, b6 is about 54 years of age, 180 cm in height and weighs 80 kg. He is of Polish extraction, is married to a secretary for the Democratic Moments Club (DemokratischesFrauenbund), and both have leading positions in the Communist Party. | b6 has steel blue eyes and is balding. His career has moved from unknown work for the ROSTOCK City Hall (Staderst) to a position as Fushrungsoffisier of the MfS in BAD DOBERAN. In 1965, he became an agent handler in ROS-TOCK. | b6 about 28 years of age and the son of b6 holds an unknown position in the MTS Headquarters. b6 no title, is the agent handle for the Port Facilities, ROSTOCK District. | b6 | is believed to hold a high rank and leading position within the MfS Headquarters, and is reached by telephone at ROSTOCK (3-70-71) 4-85, MCS Headquarters. b6 is reached by this number well. b6 no title, may be reached telephonically through the MENMAR is reached by this number as well. b6 PANDEGOGISCHE HOCHSCHULE at 22-881, although this agent handler is officed in the no title, was the agent handler for b7(d) MfS Headquarters proper. b6 and is apparently a man with high rank and prestige at the headquarters. He is about 55 years of age, 172 cm in height, and whighs He has blond hair and blue eyes, and appears to stem from the DRESHEN are 85 kg. His MfS telephone number is 2-90b7(d)

. (c) Intelligence Activities

Initial Recruitment

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In b7(d) Source was visited by a single man in civilian clothing in his basement. The individual displayed a grey 7 x 5 inch folded document showing the holder's picture and identifying the holder as a member of the police. The initial contact lasted only about 10 minutes, whereby Source was asked general questions and was requested to help the police. Source was frightened and expressed his willingness to help the State in any way he could. A second meeting was arranged. Source was to go some time at a later date to the reception room of the district police headquarters (Volkspolisei) on Klement Cottumletrasee in ROSTOCK at (540513M-120631B)(UV109965). There, was questioned primarily by 2 men, both in civilian elething.

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man wearing the uniform of the MfS, with rose colored borders on his shoulderboards, occasionally sat in on the questioning. Source was sucked general questions on his social circle, with special emphasis on these who had illegally left East Germany and who had thereafter returned to East Germany. Source was strongly wrond to provide active assistance for the socialist cause and to emlist in the MfS. Upon the consent of Source, Source was effered a contract to sign containing statements to the effect that the enlistment was voluntary, that Source would accept any position or order given to him by his superiors, and that all information that should be placed at the disposal of Source during his service would be kept secret. At the end of this meeting, Source was given his first target. resident of ROSTOCK, who had recently returned to the city from a long so journ in West Germany. | b6 was known to have a great deal of money, for which the MIS lived in the vicinity of Source, and Source as to find could not account. | b6 out the basis of this money and to research the possibility of the target being a West German intelligence agent. Source researched and observed the target dilligently, and discovered that the money held by b6 had been obtained through illegal and extralegal activities in West Germany, and that b6 was not an agent for a foreign power. The MfS superiers were apparently pleased with his

b7(d) b7(d)

b7(d)

### b. Counterintelligence Activities

Source was ordered to accept a position as b7(d) for b7(d)	
b7(d) He was given the military district around his new apartment,	
including the military housing area, b7(d) His primary mission was to	
including the military housing area, $\overline{b7(d)}$ His primary mission was to look for suspicious individuals hanging around the military installations, and	
especially those with photographic equipment were to be watched, followed, and i	
necessary, detained. On further orders from his MfS sumeriors. Source was trans-	ste
ferred to b7(d)	
His mission in this role was the same b7(d) position, but was a	
larger territory and required more observational progress. In addition to water	٠.
ing for suspicious individuals in and outside [b7(d)   Source was given more	
human targets. One of these targets was b6 Commanding Officer of	
an U/I HER stationed at the FLAXKASKENE in ROSTOCK at (540515H-120755E)(UV124975	).
b6 was to be watched and any information concerning his personal life and	
friends which wight be detrimental to the State was to be reported. Source was	
told that b6 was eligible for premotion, perhaps even to b6 Source,	
however, found no detrimental information concerning the target, and as far as	
he knew, the case was dropped. In b7(d) Source was ordered to accept a	
position b7(d) ROSTOCK, which he beld until b7(d) Source's standing orders b7(d) were t	
which he held until $b/(d)$ Source's standing orders $b/(d)$ were t	
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Source was notified by his issue walkie talkie, type SKYMASTER, a Swiss instrument when such vehicles were in the area. This communication device was issued to him by the MfB upon his assignment b7(d) is well as a 9mm Kast German make pistol, memenclature 08 with 2 magazines and a large quantity of rounds. Source however, was to avoid using the mistol if at all mossible, and only as a last resert. His walkie talkie had a broadcasting range of only about b7(d) 25 km, however, he could receive for lenger distances under good conditions. Source was told to detain the wehicle until he was relieved by Soviet agents. Source was warmed not to take the walkie talkie with him while entering the Port Facilities, however, as the pessibility of compression through as unexpected search by the customs authorities b7(d) er percen was fairly imminent. Source, did eften provide covert surveilliance of the mission vehicles, but did not have occasion to detain one, usual routes of his surveillance followed reads leading between ROSTOCK and the eities of ROEVERSHAGER (5329N-1306E)(\$A1700), BRODERSTORF (%A4N-1215E)(UV2095) ROSTOCK -DIETRICESHAGEN Another Counterintelligence Agent for MfS was semilored This agent calls himself b6 b7(d) and is about 36 years of age, 170 cm in height and weighs 80 kg. sparse dark mair and large balding grees and brown eyes, b6 Lives in RUSTOCK b6 and it can be assumed that he is armed and equipped in the same number b7(d) an has Source.

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### c. Training

Shortly after his enlistment into covert service for the Counterintelligence Department of the MCS, Source was required to attend intelligence training classes given in the ROSTOCK area. Source is no lenger sure how many classes he took, ner could be give partiment details about content, instructors or other students. He did remember, however, that he had several classes on the use of communication devices, especially transmitting devices. He also had at least one class on the formation of codes and one on the art of transmitting intalligence data by electronic devices. Instruction was also given on the use and eperation of taperecorders, as well as the proper method of destroying tapes in an emergency. In intelligence quetient examination was also administered to Source. Source further had classes on interregation of human sources, methods of approach to human sources, and hav to establish contact with sources without conpromise of real intent. Seme of these courses lasted several weeks and averaged about 3 hours in length eer day. Source could not remember specifics, but did remainder that the courses were, in general, simply presented and all matter appeared to be logical and explicit. These classes were presented to Source in reces, probably rested by the MfS, in the HOTEL STOLTERA in WARNENDE at (541040H-120238E)(UAO82074), and at a building in KRACHOW AH SEE (CNA). Source also spent many hours on the pistol range practicing with his 9mm mistol, as he is fond of shooting. He does not remember having to qualify with his issue weapon but supposed that his presence at the range was always reported to the MfS by the Alert Police, who presumably also related the scores made by Source at the range. The only training Source participated in after his training during the first few years of his enlistment was the pistel marksmanship. Specific information necessaryufor the accomplishment of his mission was given to Source through his handler on an irregular basis,

### d, Information Drops

DECLARATION SCHEDULE

While employed in the Counterintelligence Department, Source was required to make written reports on his observations and investigations. No particular ferent was necessary, as leng as the report was clear, concise and legible. All reports were to be signed by the cover name | b6 | assigned to Source.

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This signature was to be in the normal handwriting of Source, and the MfS had seperal copies of the name written by Source upon his enlistment. Mormally, the reports were carried by Source to specific, proplamed meeting points, or in-formation drops. These drops were usually worked out during the previous meeting between Source and his handlers, and usually were located in building eneed by the Commentat Party but me langur used. Source also, on rare eccasion, would deliver reports to the MIS Headquarters, although this was discouraged. When infermation was to be regularly acquired concerning a specific target, Source had 2 present drops where the information could be transmitted without direct contact with another agent. Seures would, on such eccasions, drive to b6 family apartment in ROSTOCK on the corner of | b7(d) was placed in one of the windows of the apartment building, it symbolised that a contact man was in the area and the report could be deposited anywhere in that sdiate area. Source would them simply lay his report down, purhaps near a gar bage can or telephone pole, and walk every, remaining always, however in night of the report. The contact man would pick up the report and read it. If the report did not contain enough information, or the individual was not estimfied with the If the report contents in any way, the contact man would light a cigar, and Source would re-do the particular assignment. Source emphasized that the use of the family b6 fermers or agents of the MfS. As far as Source could find out, the | b6 were not involved, but rather used as a reference point for the contacts. If Source received information of a high priority, he was directed to deliver the infermation to b6 wife of one of his fermer agent hamilers, who works as a secretary for the Padegegische Hochechule in ROSTOCK, fource could not locate this on the map, but claims that her office, where he was to hand deliver the information, was directly across from a Seviet casern,

### . Payment System

Upon his enlistment, Source was teld that his remneration would take many forms. In addition to receiving the military housing, Source also received irregular sums of mensy, in each, through the mail. This was superially true, while Source was employed b7(d)

Source would sometimes receive 50 EM. 100 EDK, or 150 EDM during this tim. When he was ordered to become b7(d) however, he was teld that the extra payments would stop, as he would carm more b7(d) than most HfS officers earn. Source was paid by the km traveled, and earned ever 1,000 km per month. In January 1970, Source was enlisted into full time Espienage service and was told that a bank account had been epened in himm mes and undefined amounts would be placed in this asseunt on irregular basis. He was also given a lump sum of 300 KOH upon his first assignment,

f. Espienage Activities EXCLUDED FROM GENERAL

(1) Recruitment

DECLASSIFICATION SCHEDULE

b7(d)

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ORIGINATOR 18th HI Bn, 66th

Group, APO New York 09108

b7(d)

(2) Mission

b7(d)

He was also to determine the current feelings of the people concerning East Germany and gather material for a secioeconomic report on the Slavenian athmic groun in Yugoslavia. He was also given a target.

| b7(d)

b6 b7(d)

(3) Enlistment into Covert Espionage Service

DECLASSIFICATION SCHEDULE

b7(d)

b7(d) Source was visited by a civilian MfS officer in his apartment and saked if he were willing to become a full-time covert espionage agent for East Germany. This individual was unknown to Source, and Source does not know if the agent was a member of the Counterintelligence Départment, through which he had always previously worked, or a member of some other department. At any rate, the agent brought with him a contract containing much of the same infermation and statements that were found on the initial contract, which Source also signed. Source was told that a bank account would be opened in his name, and that he would have no financial sorries.

b6 b7(d)

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ORIGINATOR 18th MI Ba, 66th MI Group, APO New York 09106

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b7(d)

(A) Alledged Contact Attempt in West Germany

Source received a letter from his wife still living in ROSTOCK that the MfS was sending a retired individual to make contact with Source sensetime during Source commented that most of the MfS the months of b7(d) agents outside of East Germany were retired people, and this believes the report of his wife. The individual, who was not described, would contact Source on his eun, and that Source would know at that time that the man was a musber of the MfS. Exactly how Source would be able to ascertain this, was not explained. Source elaims, however, that this contact did not take place, perhaps due to the fact that he has moved several times until settling finally in b7(d)

b/(d

(5) Alledged Vielation of Personal Security

ىر ئىرىڭلىك

Source appeared at the GIESSEN Hain Interrogation Center on b7(d) upon written request. He claims to have seen some acquaintances there, who have undoubtedly reported his presence to the ROSTOCK MfS. About 2 weeks after his visit there, all mail to or from East Germany was out off from Source. His letter do not go through and he has not received a letter since then from his wife.

COMMENTS:

(U) (C) Seurce

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-	of the MfS personnel in ROSTOCK.			-4	
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मिन्यास्थापन्याः विशेषास्थानस्य REPORT NO. 2 218 8374 72 PAGE 2 O F PAGES CONFIDENTIAL CONTINUATION SHEET ORIGINATOR 66th MI Gp, APO NY 09108 and Control Markings) b7(d روز Rackground Information The Institute for Ocean Water Fishing (Hochsee Fischerie), directed by the 40 year old economist b6 consisted of three scientific departments: Fishing Techniques, Fish Biology, and New Fish Products Dept; and the two scientific ships, Ernst Reechel and Eisbeer. The Institute employed 70-75 scientists, biologists, zoologists, etc, with total strength of approximately 400 employees. Activities of Institute employees were constantly observed by the MfS, with emphasis on the scientists, because they often came into contact with both E and W associates. Source estimated that 25% of his colleagues were MfS informants. This was based on the many minor details that the MfS had about his personal life. Only someone, who came into daily contact with him could have given them the information they had in his dossier. The MfS State Security Section of the Institute, was manned by two U/I lffS staff members, who had their office in the new Admin Bldg of the State Fish Industry (Fischkombinat). Contact by the MfS Source was first contacted by MfS representatives in | b7(d) b/(d) He was told, that he should observe \*11 his colleagues because many of them, names never revealed by the interviewer, were suspected of being subversive and were considered a threat to CDR. Source was told to observe everyone and everything, and that he was to report to MfS Source made no efforts Security Hq immediately b7(d) to contact them, nor was he contacted upon his return. He was not approached b7(d) again until called by the admin section, on another pretext, and told to report to the cadre room again. Another U/I MfS man was present in the room. He first b7(d) complimented Source into his personal affairs. They wanted to know how things were going for him or. the job, and at home. The U/I lifs man reminded Source, that he would have to soon decide whether or not to improve his political attitude. The State needed his help, to protect itself from subversive personnel in the Institute. If Source did not help, he believed he would shortly be looking for a new career. b7(d) meeting, the MfS (State Security) was particularly interested in the economic and political views of Scurce's colleagues towards the Communist Party and the Soviet Union. Again, no names were mentioned. Source was to believe that the majority of his colleagues were enemies of the State. Since Source and the U/I man were on good terms, and he wanted to help Source improve his political standing, he said it would be to Source's advantage to report everything that W. W. William happened on his return trip. REGRADED UNCLASE Best Copy Available ON BY CDR USAINSCOM F01/PO AUTH Para 1-603 DOD 5200.1R

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ORIGINATOR

66th HI Gp, APO NY 09108

State Security HQ and Residences

Location

(See Pigure 1)

The State Security HQ and Residences in ROSTOCK were located on ! August-Bebel Street. The four-story, stucco HQ and old Apt house (still occupiedby MfS personnel) were bordered by August-Bebel Street on the N: Hermann Street on the E; Augusten St on the S; and Gruener Weg on the W; at (UV126968). The new apartments were located in the S and E corners of the newly completed 10-12 story building 200 m W of the HQ, with Schroeder St on the S and W and Gruener Weg on the E. The main entrance to the HQ is on Hermann St. There is another entrance on August-Bebel St, but that is for official entry only. The vehicle entrance/exit is on Gruener Weg. All entrances/exits are secured by two armed guards with U/I pistols.

b. Activity

They were taken to the main entrance, where the guard called inside. Within a few minutes, an U/I civilian came out and escorted them four floors below ground level to an empty, windowless office. They waited there about 2 1/2 hours until another U/I man entered and questioned them on their backgrounds for about an hour. The U/I man departed, they waited another two hours, and were finally released. Source noted, though it was only around 0600 hours, that the place Was quite active. Both U/I males and females were working in most of the offices they past while being escorted out of the building.

COMMENTS

Source was of average intelligence and did not contradict himself during exploitation.

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